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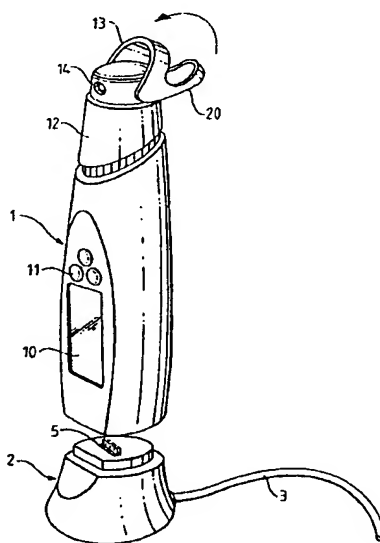
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(54) Title: DOSE DISPENSING SYSTEM AND APPARATUS



(57) Abstract: A dispensing system for medication comprising a dispenser (55, 56) into which the canister (60) of medication is placed. The dispenser has a locking mechanism for selectively preventing dispensing of the medication. The system receives input data from a user and has a control device remote from the dispenser to lock the dispenser until the input data is correctly entered. The dispenser may be configured by a cartridge (100) inserted into the dispenser in place of the canister (60). The dispenser also has means for determining its location and locking the device if the dispenser is not at or within a fixed location.

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DOSE DISPENSING SYSTEM AND APPARATUS

5 This invention relates to a dose dispensing system and  
apparatus, particularly though not exclusively for  
dispensing doses of drugs and other like medicaments.  
It can, however, be used in analogous areas where  
controlled dispensing of materials is desired. It is  
10 of particular value for dispensing measured doses of  
fluent medication from a storage container containing  
a reservoir of such medication, although it can also  
be used to dispense unit dosages of solids such as,  
for example, tablets.

15 Pharmaceutical packaging is normally designed to make  
access by the patient easy and unrestricted. There  
are, however, situations where considerations of  
safety and security make it necessary to control and  
record the usage of medicines by patients.

20 Additionally, supervision of dosage by medical,  
nursing and care staff is time-consuming and costly,  
particularly if the patient is not in a hospital or  
other care facility. This is especially the case if  
the patient needs to take a combination of medicines  
25 with a strict regime of medication. Also, while in  
the case of many medicaments and pharmaceuticals the  
dosage regime may be subject to wide variation without  
potential danger to the patient on the one hand or  
loss of effectiveness of the medication on the other,  
30 it is well understood that medication is desirably  
effected using a regular dosage regime. It is found  
that this is not always easiest achieved simply by  
relying on a patient to follow written instructions.  
Attempts have accordingly been made to develop devices  
35 which are themselves essentially "programmed" to  
dispense medicament at the correct intervals, but such  
systems have tended to be of narrow applicability and

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complex and, indeed, to be easily defeated. Thus, suggestions have been made in the case of multiple pill-based medication regimes, to provide automated dispensing devices. US-A-5,752,621 and US-A-5,472,113  
5 both disclose apparatus which can be used to dispense, at appropriate times, various pills in appropriate combinations. Further prior art dispensing apparatus is referred to in each of these specifications.

10 Devices for dispensing fluent materials such as drugs and medicaments are known in a wide variety of forms. Generally they consist of a container which is sealed and from which a suitable dose of material may be ejected. One particular widespread presentation for  
15 drugs, particularly the treatment of asthma, is that of a small pressurised canister having a valve at one end and a dispensing tube fitted with a nozzle. So-called inhalers are well-known and widely used by asthmatics. In principle, however, such a  
20 presentation is not in any sense restricted to drugs for use in treating asthma, but can be used for a wide variety of medicaments and pharmaceuticals. The mode of administration additionally does not always have to be by way of an aerosol spray. For example, it is  
25 entirely conceivable to dispense pasty or creamy formulations from a canister with some form of pump valve on it. Even discrete dosage forms such as pills may be presented in container from which pills may be released one at a time. This is a particularly  
30 preferred dosage approach presentation for homeopathic remedies where it is believed highly desirable that the pill may be taken without being handled by the person taking it more than strictly necessary. Alternatively, pills may be incorporated into a strip  
35 or ribbon which may be fed out from a cassette or the like one by one, and released from the strip for administration.

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A separate consideration in connection with the administration of medicaments arises in the case of controlled clinical trials, or even, though to a lesser extent, patient monitoring. It is particularly  
5 important in a controlled clinical trial to ensure not only that the dosage regime is followed, but that a positive record is secured which enables that to be verified. Any such system should, of course, not be capable of being falsified by the patient.

10

A further separate consideration which applies in some cases is the strong desirability of avoiding overdosing. This can be of particular importance in the case of medicaments used in diabetes treatment  
15 where they can have extremely adverse effects if not used in the right quantity at the right time.

Yet a further problem which arises in connection with the controlled administration of medicaments in  
20 unsupervised conditions is to ensure that the right medicament is being administered, and in the case of controlled or prescription medicines, that no diversion occurs.

25 We have now found that substantial advantages may be obtained, but in cost-effective fashion, by providing improved dispensing systems which enable a dose of medicament or the like to be dispensed from a sealed or sealable container in accordance with a programmed  
30 regime and which are so arranged that the regime must essentially be adhered to.

Our earlier WO 02/32487 discloses a dispensing system consisting of a programmable dispensing mechanism  
35 adapted to receive a sealed or resealable container containing multiple doses of material to be dispensed, and including a mechanical actuation mechanism which,

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when actuated, causes a measured dose of material to be dispensed from the material container, and wherein the mechanism may be inhibited from operation by a locking mechanism which, when actuated, locks the device against the further dispensing of a dose of material until released in accordance with a desired dispensing programme, and wherein the container and dispensing mechanism are provided with means enabling the authenticity of the container placed in the dispensing mechanism to be checked.

Such release may be effected, for example, merely by the passage of sufficient time or, and this is generally preferred, by means of the release of a suitable latching mechanism which acts to lock the device against dispensing until such release is effective. The latching mechanism may be mechanical, electrical or electromechanical, but, in every case, must be as a whole programmable with the desired dosage regime. The release may be effected by suitable actuation locally or by remote control.

Preferably the container has an identification tag associated therewith and containing information about its content, and the dispensing mechanism includes means for addressing the tag and validating the dosage regime in accordance with a preset programme. The tag may take the form of a simple marking on the face of the container such as a barcode, or it may be a more sophisticated form of tag including data about the medicament and how that medicament is made or information relating to the manufacturing and supply of the medicament. It may even be in the form of a "smart card" which enables information not only to be extracted from the tag but also written to the tag. Data and power may be transferred by direct physical contact, or remotely via an RF field.

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It is particularly preferred to provide a dispensing system in two parts, one of which can be envisioned as a hand-held hand-actuated dispensing mechanism and the other as a base or docking station into which the hand-held unit may be placed in order to release the latch. Such a docking or base station may be more or less sophisticated and may be self-standing, or alternatively it may operate in cooperation with a remote overall control system, for example a remote computer or computers. In one specific aspect of the present invention, the docking station may contain transmitter/receiver means for communicating with a central control computer enabling exchange of signals/data between the remote computer and the base or docking station and accordingly, if the dispensing device is placed in the base or docking station, between the remote computer and the dispensing device. In such a system, it is entirely possible to arrange by means of suitable programming and suitable easily implemented electronics that the dispensing history of the hand-held device can be uploaded to a central remote computer at the same time or adjacent in time to the remote computer sending the hand-held device appropriate control signals.

The means of communication between a base or docking station and a remote computer can be any appropriate means, for example using cellular telephony techniques, via the Internet or via any other appropriate communications mechanism.

It is also possible to provide, in the hand-held dispensing device, means for communicating with a separate standard computer device, for example a personal computer, palm-top, PDA or mobile communications device. By including an infra-red or RF communications port in the hand-held device, once

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communication is established with the docking station and communication is actuated, a dialogue may be established between the patient and a host computer or even with a physician or other adviser. Thus, it is possible, at the same time as dealing with the basic reporting of past use of the device, to enable the patient to fill in a questionnaire, or to enter into the system a query about their condition or a report of current state of health. This "telemedicine" aspect to the dispensing system provides very substantial flexibility of communication between patient and doctor, and enhances clinical care opportunities.

While such a system is extremely beneficial, patient compliance will be patchy such that a patient may end up entering data significantly after the event, when the data may not be as accurate as it would be if it were entered at the correct time. In the worst case, the patient can fail to enter the data entirely.

WO 01/93801 discloses an apparatus similar to that disclosed in WO 02/32487. The device is provided with input means allowing a user to input certain information on medication consumption. This information is primarily required to allow the unit to compute the desired time at which the medication can be dispensed. The information is stored locally to the device and can be read out later if required and used for research purposes. This goes some way to overcoming the problems with ensuring that the patient enters the data correctly.

According to the first aspect of the present invention, there is provided a dispensing system comprising a dispenser arranged to dispense a quantity of material; a locking mechanism on the dispenser to



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prevent dispensing of the material; a user interface allowing the user to input data; and a control device remote from the dispenser, the control device arranged to receive the input data and to enable release of the locking mechanism to allow dispensing of the material.

The present invention offers considerable improvement over the system of WO 01/93801. As the control of the locking mechanism is carried out remotely this ensures that the data entered by the patient must be received remotely prior to the dispenser being released to provide a further dosage. This ensures that the data is guaranteed to be received remotely and that it is received in real time. With WO 01/93801, there is no such guarantee as the local device memory is only read out at a later date. Further, as the data is received remotely in real time, it is possible for a medical practitioner to read and interpret the data before allowing a user to take another dosage of the medicament. Such an option is not available in the device of WO 01/93801.

By allowing the patient to communicate in this way, the number of possibilities are opened up. For example, the device can obtain information from the patient in order to make a positive identification of the patient. This may take the form of a number of predetermined questions to which only the authorised individual would know the answers. Alternatively, more sophisticated identification techniques such as fingerprints and retinal scans can be used.

Alternatively, the patient may be faced with a test to determine his/her reaction time allowing the system to determine his/her level of intoxication and regulate the dosage pattern accordingly.

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In the case of clinical trials or similar procedures, the system of the invention enables a substantial degree of control and monitoring to be easily and cost-effectively carried out without the ease of use of the medicament for the user or patient being compromised.

Under certain circumstance, it may be advantageous to activate the locking mechanism if the data has not been entered by a fixed time. The system can be arranged such that the locking mechanism can then only be released by the control device.

In its simplest form, the control device may simply detect that information has been received and release the locking mechanism accordingly. However, in a more sophisticated device, the control device may make some qualitative assessment of the input data, for example by determining that all of a desired number of questions have been answered. Alternatively, the control device may include a display to display the input data allowing manual assessment of the data before the locking mechanism is released.

As mentioned previously, the system can dispense any material, but is particularly designed for medicament. The medicament may be in the form of tablets, but is preferably in a fluid form which is dispensable in a number of measured doses. The invention is applicable to any type of dispenser with a suitable locking mechanism. However, it is particularly applicable to the device of WO 02/32487.

In the broadest sense, with this device, the dispenser is adapted to receive a sealed or resealable container of material to be dispensed and includes a mechanical actuation mechanism which, when actuated, causes a measured dose of material to be dispensed from the

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material container, and the actuation mechanism may be inhibited from operation by the locking mechanism.

5 Preferably, the container and dispenser are provided with means enabling the authenticity of the container placed in the dispenser to be checked.

10 Preferably, the dispenser is a portable hand-held device.

The system preferably includes a separate base or docking station into or near which the hand-held unit may be placed in order to release the locking mechanism.

15 The present invention also aims to provide a system having a more flexible means of configuring the dispensing mechanism.

20 According to a second aspect of the present invention there is provided a dispensing system consisting of a programmable dispensing mechanism adapted to receive a sealed or resealable container containing multiple doses of material to be dispensed, and including a  
25 mechanical actuation mechanism which, when actuated, causes a measured dose of material to be dispensed from the material container, and wherein the actuator mechanism may be inhibited from operation by a locking mechanism which, when actuated, locks the device  
30 against further dispensing of a dose of material until the release in accordance with a desired dispensing programme; further comprising a cartridge to be received in the dispensing mechanism in place of the container of material to be dispensed, the cartridge  
35 containing user specific data, means in the dispensing mechanism to read the data, and control means to control the operation of the dispensing mechanism in

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accordance with the data.

With this arrangement, the patient can be provided with a cartridge containing patient specific  
5 information, such as the type of drugs and the intended dosage regime. This cartridge can be used to configure a dispenser. If the user has a number of dispensers, for example one for use at home, one for use in the car and one for use at work, all of these  
10 dispensers can be configured using the cartridge.

Preferably, the cartridge and the dispensing mechanism are provided with means enabling the authenticity of the cartridge placed in the dispensing mechanism to be  
15 checked. In this way, the system can be programmed so that only those dispensing mechanisms allocated to a particular user can be configured by the cartridge. The system can also record if a user has attempted to use the cartridge to configure an unauthorised  
20 dispensing mechanism.

Preferably, the data in the cartridge can be reprogrammed. This will allow a medical worker to reconfigure a patient's cartridge, for example to  
25 change the dosage pattern. Also, a medical worker would be able to change the information on the device to override the date from the cartridge.

Preferably, the data on the cartridge includes a user specific PIN code. If the dispensing mechanism requires a user to input a PIN code for operation, this code can also be included in the cartridge. If the user forgets his code, the cartridge can be inserted into the mechanism to remind the user of the  
30 code.  
35

A third aspect of the present invention aims to

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provide an additional degree of control of the dispensing operation.

5 According to a third aspect of the present invention there is provided a dispensing system comprising a dispenser arranged to dispense a quantity of material; a locking mechanism on the dispenser to prevent dispensing of the material; a position detector to detect the position of the dispenser; and a control  
10 means to activate the locking mechanism to prevent dispensing of the material if the position detector indicates that the dispenser is not within a fixed location.

15 Thus, the invention allows those prescribing medication to be able to control the location where the medication is taken. For example, the dispensing system can be configured such that it will only dispense medication in a specific clinic or hospital.

20 In its simplest form, the position detector may be a means to monitor when the dispensing mechanism is beyond a given radius from a fixed point. For example, a docking station may be provided with an RF  
25 link to the dispensing mechanism. In this case, the position detector is able to determine the distance between the docking station and the dispensing system and to actuate the locking mechanism if the dispensing mechanism is further than a given distance from the  
30 docking station.

For a more sophisticated system the absolute position of the dispensing mechanism may be detected, for example using a global positioning (GPS), cellular  
35 positioning (CPS) or triangulation system. This will provide operators with greater flexibility to limit the dispensing of material to certain regions.

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As mentioned previously, the system can dispense any material, but is particularly designed for medicament. The medicament may be in the form of tablets, but is preferably in a fluid form which is dispensable in a number of measured doses. The invention is applicable to any type of dispenser with a suitable locking mechanism. However, it is particularly applicable to the device of WO 02/32487.

10 In the broadest sense, with this device, the dispenser is adapted to receive a sealed or resealable container of material to be dispensed and includes a mechanical actuation mechanism which, when actuated, causes a measured dose of material to be dispensed from the material container, and the actuation mechanism may be inhibited from operation by the locking mechanism.

Preferably, the container and dispenser are provided with means enabling the authenticity of the container placed in the dispenser to be checked.

Preferably, the dispenser is a portable, hand-held device. The system preferably includes a separate base or docking station into or near which the hand-held unit must be placed in order to release the locking mechanism.

The three aspects of the invention may be used independently, or together in any combination.

30 The preferred medicaments for dispensing using the system include unlicensed drugs undergoing clinical trials and controlled drugs such as, drug dependence drugs, for example, narcotics or opiates including cocaine, diamorphine, morphine and the synthetic opioids, amphetamines, flunitrazepam, temazepam, barbiturates, cannabis (or drugs derived therefrom),

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and lysergide. Indeed any drug defined under the Misuse of drugs Act 1971 as a class A, B or C drug or those defined under the Misuse of drugs regulations 1985 as a schedule 1, 2, 3, 4, or 5 drug would be particularly suited for use with the system of the invention.

The inventions are illustrated by way of example with reference to the accompanying drawings in which:

Figure 1 shows a drug dispensing unit and base station in accordance with the present invention;

Figure 2 shows the unit of Figure 1 about to be used;

Figure 3 is a flow diagram of one aspect of the operating system;

Figure 4 shows an alternative general view of an alternative dispensing unit and base station;

Figure 5 shows the unit of Figure 4 in use;

Figures 6a and 6b show in exploded view from front and back respectively a third embodiment of a drug-dispensing unit and base station in accordance with the invention; and

Figure 7 is a perspective view of a cartridge for insertion into the dispenser previously described.

Referring to the drawings, Figure 1 shows a dispensing unit generally denoted 1 which can be placed on top of a base unit generally denoted 2. The base unit is connected via a power and signal cable 3 with appropriate related apparatus, for example to a telephone socket or to a PC interface card. The upper

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face of the docking station 2 carries a row of  
connector terminals 5 which can, when the dispensing  
unit 1 is placed on the docking station, electrically  
contact corresponding members (not shown in Figures 1  
5 and 2) located on the underside of the dispensing unit  
1.

The dispensing unit itself is provided with a liquid  
crystal display screen 10 and some function buttons  
10 11, and has at its upper end a nozzle actuation cap 12  
with a lowerable closure tab 13 which can be used to  
cover an aerosol outlet 14 in cap 12, thus preventing  
the aerosol outlet being clogged with dust, dirt or  
other contamination.

15 Cap 12 may be releasable from the upper end of the  
main body of the dispensing device as shown in the  
drawings to enable a canister with a standardised  
outlet tube to be located within it, the outlet tube  
20 being registered with an appropriate aerosol nozzle  
14. By pressing the cap 12 down into the main body of  
dispensing device 1, the aerosol valve may be actuated  
and a dose of material expelled, whereafter an  
electromechanical latch within the main body of the  
25 dispensing device 1 may act to prevent the cap 12  
being pushed into the body of dispensing device 1 a  
second time until release occurs. Release may occur  
merely following the passing of a given period of  
time, but it is highly desirable more positively to  
30 control the ability of the device to dispense. For  
this purpose, it is straightforward to arrange that  
the latch within the main body of dispensing device 1  
will remain locked to prevent a further depression of  
cap 12 until appropriate steps are taken to release  
35 it. For example, release may be effected remotely in  
accordance with a programmed regime by placing the  
dispensing unit 1 on to the base station 2 and



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thereafter having the dispensing station and the base station communicate with one another, whereon, if appropriate, the internal latching may be released. The status of the dispensing device 1 may be shown on  
5 screen 10, both before and after placing on the base station. A number of push buttons 11 are provided in order to control input from the user, for example to enable the user to set up a communication link with the remote computer via the base station 2.

10 Once such a link has been established and e.g. the latch released so that a second dose may be dispensed, the dispensing device 1 may be removed from the base station, held in the hand as shown in Figure 2, and  
15 the cap again depressed in the direction of arrow 30 shown on Figure 2. It is easy to arrange that when such actuation occurs, the latch within the dispensing unit 1 re-engages to prevent a second dispensing action and separately the status of dispensing unit  
20 may change, the change being displayed in window 10.

Alternatively, the device may include suitable control circuitry internally, such circuitry acting to release locking and enable a further dose to be dispensed  
25 after a suitable period of time, and preferably including a rewritable memory store to maintain a record of when doses were in fact administered and when and how the device was interacted with by the user. The content of such a store may be  
30 automatically transferred to a store in the docking station when the device is docked, or transferred direct to a remote computer if desired.

It is often desirable to record additional information  
35 from a patient, for example as to their general state of well being and the effect that the medication has had on them. This can be a useful diagnostic tool for

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medical practitioners, and is particularly useful in the case of clinical trials. The device therefore includes a means for inputting this information. In its simplest form, this could take the form of a set of questions being displayed on the LCD screen 10 with a set of multiple choice answers which the user selects using function buttons 11. The function buttons 11 could also be used to input text. However, this is likely to be time consuming, and if text input is required, some further device such as lap top computer, PDA, or communications device can be connected to the base station 2 either physically or remotely. Alternatively the communications devices and input devices could have their own link to the remote computer alleviating the need to connect to the base station 2. Alternatively, for patients having difficulty with their manual dexterity, the input device could be a microphone to record the necessary information orally. This can then be converted to text using voice recognition software either locally, or at the remote computer. Alternatively, the text could be typed manually.

In order to ensure patient compliance with the requirements to enter this text, the remote computer is set so as not to release the latch until the information has been recorded, processed and a determination as to what course of action should be taken with respect to the locking or unlocking of the latch has been made from the recorded information and/or the other data from the remote system.

Fig. 3 illustrates the operation of this aspect of the invention. The patient inputs data through device 1, or some other device as described above as step 15. This data is transmitted to a remote hub providing a control device where it is processed as step 16 and

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appropriate data is written to a database at step 17. At step 18, the hub determines whether the received data necessitates any updating of the device. The system has a set of rules that will be used to  
5 determine whether or not to update data within the dispenser which will in turn influence the operation of the blocking mechanism. Thus, if the hub determines that the necessary data has been correctly received and derives from the data that the device  
10 needs to be updated or the locking mechanism state should be altered, it will update the device at step 19A and will then return to processing at step 19B.

Although this process has been described in relation  
15 to additional data input by the user, it is also applicable to information received directly from the device itself relating to the patient usage data. Thus, for example, the hub can be programmed to recognise certain unusual dosage patterns and to alert  
20 a medical practitioner, to adjust the dosage regime, or to lock the device to prevent further usage.

If, for example, a patient has a dosage regime of one tablet of a drug three times a day, and the control  
25 protocol requires that a patient complete a data entry in an electronic diary before the next dose can be taken, the system will automatically restrict the dose until the diary is completed. An alert can be sent if the dose is not taken or the diary is not completed  
30 within a prescribed period. This can give a clinical trial investigator real time information about the dosing/data recording behaviour of the patient group.

The benefit of this device is that the input data is  
35 clean at source as it must be entered before the next dose can be dispensed. The system can also be configured to accept data after the drug has been

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dispersed.

As shown in Figure 1, the closure tab 13 which acts to shield ingress of dirt into the dispensing outlet 14 has an angled out portion 20 which can be engaged by the forefinger of the left hand as shown in Figure 2 of the drawings in order to achieve dispensing.

Such an approach is not always desirable, or, indeed, convenient, and it may be particularly awkward for people with arthritis. Accordingly, Figures 4 and 5 show an alternative construction where dispensing is achieved by means of a lateral grip across a generally oval cross-section elongate housing which covers the dispensing device. Referring to Figures 4 and 5, the system consists again basically of a docking station 21 connected via cable 28 and a squeezable dispensing unit 22. The latter has a display screen 23 in a slidable central section which can be slid up to reveal the nozzle of an aerosol dispensing nozzle 24 which is visible in Figure 5, but not in Figure 4. Likewise visible in Figure 5 but not in Figure 4 is the set of control buttons 25 which enable the unit to be controlled by the user.

The mechanical construction enabling a squeezing movement exerted as shown in Figure 5 to be converted into an axial compression to release a dose from a pressurised container via the aerosol nozzle may be simply effected using appropriate standard mechanical constructions, and the mechanical arrangements for latching the device against an immediate second use can likewise be simply and appropriately constructed. Located within the housings of the respective dispensing devices 1 and 22 shown in Figures 1 and 4 respectively are also appropriate electronics and a power supply or back-up power supply, for example one

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or more battery cells. If desired, the electronics may be rechargeable and recharging can take place when the respective dispensing unit is located on its docking station 2 or 21. This can obviously be  
5 effected automatically by appropriate design and programming.

Figures 6a and 6b show a further embodiment of the dispensing system, in each case in exploded view from  
10 front and back respectively. Referring to these figures, from which detail has been omitted for the sake of clarity, the system consists of a base station 50 into which a hand-held dispenser can be set when  
15 needed. A contact pad 51 enables signals to be sent to and from the hand-held unit when it is placed in base station 50.

The hand-held unit consists basically of front and rear casing shells 55, 56 respectively which clip  
20 together round a circuit board 57 and an internal moulded receptacle unit 58. Shown above unit 58 in the drawing is a removable cartridge housing 60 which may be locked into place in the assembled housing or released therefrom as and when necessary. Cartridge  
25 housing 60 is designed to receive a container of medicament 62, here in the form of an aerosol spray canister with a dispensing nozzle 64 which lies in the upper part of housing 60 having a number of weight  
30 reducing indentations 66, and which is suitably configured to enable a dose of medicament to be dispensed sub-lingually via apertures (not shown).

Circuit board 57 bears a latch assembly 70 designed to interact with portions of housing 60 to enable the  
35 housing to be latched in place or removed upwardly from the rest of the device. The latching assembly also allows, at appropriate intervals controlled by

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programming, the housing 60 to be pushed down in the upper half of moulding 58 to enable a set of pins 72 to press on the ends of the arms of a spider 74 and so cause the container 62 to be pressed towards the nozzle 64, so dispensing a dose of medicament therefrom. After one (or if programmed appropriately more) such compressions, the latch assembly may lock the housing 60 against further such movement until released when the next dose of medicament is due to be dispensed. The exact nature of the operation of the spider 74 and associated components is described in more detail in our copending application filed on even date and claiming the priority of GB patent application 0025811.1

Circuit board 57 carries a display screen 76 visible through a window 78 in casing front 55. In use of the device, this screen can carry a message to the user, for example indicating the state of the device, ready to dispense or locked. Casing front 55 also has four apertures 80 which, when the device is assembled, are filled with rubbery press buttons (not shown in the drawing), which enable actuation of four switches 82 set in circuit board 57. The upper end 84 of board 57 carries a printed RF antenna which enables the checking of a so-called RF tag 86 which forms part of the cartridge assembly. This enables the system to check just what medicament has been loaded into it when a fresh container 62 and associated tag 86 are inserted into the upper housing 60 and that housing latched into position in moulding 58.

The hand-held unit may be powered by a suitable battery which can fit in the area denoted 88 in the drawing.

It will be readily appreciated that using devices as

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shown in Figures 1, 5 and 6a/6b, the degree of control of dosage can be very high and the ease of recording and monitoring of the dosage regime is substantial. If, for example, the base station 2, 21 or 50 is  
5 connected into the normal telephone system, a central controlling computer can monitor the operation of the device by the user remotely, and any anomalous or undesired administration can be detected rapidly and appropriate immediate action taken. A further  
10 advantage is that, for example, a sounder is easily incorporated into the base unit which can be programmed by the central computer to emit an audible signal, e.g. to remind a user that dosage is overdue. The operating rules may provide that if within say 5  
15 minutes of the emission of such an audible signal the user does not acknowledge having heard it, an appropriate record can be made of this event.

As noted above, the device may itself include  
20 appropriate control circuitry including a memory device. In such a case, it is possible to programme that circuitry (and a remote computer) so that when the device is first docked, it starts by establishing a communication link with the remote computer, which  
25 can then initially set-up the device with appropriate parameters for a patient. These could, for example, govern the length of a PIN No required to access the docking station and details of the proposed dosage regime, for example initially loading an expected  
30 running average based on the prior doctor/patient experience. This false average could form the foundation for a continuing running average that is calculated with time and use. This data would constitute a benchmark, enabling the device thereafter  
35 to monitor usage levels and to detect any incidence of deviation. The time and frequency of use, and other events such as opening of the casing or tampering with

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it, may be stored and uploaded to a central system as desired. The system may be programmed to issue restrictive orders on the patient's medication, or it may simply be programmed to report data, so as to highlight areas of concern and alert the appropriate GP or specialist for attention at the patient's next appointment.

As noted above with reference to Figures 6a/6b, in place of or supplementary to the downloading of data via a remote link, data may be stored with the container for the material to be dispensed. In some areas, there is already a requirement for a form of tagging on medicinal canisters that can be read or written to. This tag carries information as to the medication type, use-by dates, etc. and when used with a device according to the present invention, the tag may be accessed by the device (and/or via the docking station), and the device could be programmed to write to the tag the number of doses left in case of removal from the device. The tag could have a large memory capacity free for other uses. On return of the canister to the pharmacist, the usage data written to the canister can then be interrogated. Data as to when the canister was used and by whom, would remain with the canister of medication that was dispensed. This method of data management may prove to be more convenient and effective in some cases than online monitoring with the device (including the canister) being mated with the docking station.

The device may be used to dispense medication at fixed times throughout the day. Alternatively, it can be programmed in a "free dosing" mode. This caters for the situation where a daily maximum dosage must be adhered to, but a specific time when the medication should be taken cannot be predefined, for example in



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the case of an analgesic type drug. The device itself, or the medicinal cannister can be programmed to set the free dosing mode, i.e. to allow the user to dose freely during a predefined period until a maximum allowable number of doses is reached.

In certain circumstances, it may be desirable to programme the medicinal cannister to a free dosing mode, but to allow the device to override this mode, thereby allowing, for example, the free dosing mode to be manually overridden by a doctor from a remote location. The device or medicinal cannister can be programmed with different dispensing regimes for different days of the week, thereby varying the daily dosage.

It can be seen that a wide variety of modifications may be made to the overall general construction and design described above, many of them easily made simply by changing computer programmes. Such changes could be made "online" when the hand-held unit is in the docking or base station and in communication with a host computer. The system is of particular value in the monitoring and analysis of administration during a controlled trial, enabling it to be highly automated and reliable. In particular, detection of activity outside the instructions or constraints of the trial can be immediately and automatically achieved.

In addition to or instead of configuring the device remotely from the computer via a communication link, an alternative means configuration will now be described with reference to Fig. 7. This discloses a cartridge 100 designed to fit in a dispenser of Figs. 6a and 6b. Similar arrangements using appropriately shaped cartridges may be employed with the examples of the previous figures. The lower half of the cartridge

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100 is shaped in the same way as the housing 60 so as to fit into the same socket in the dispenser. The upper part of the cartridge 100 may be shaped in any way, and in this case has an aperture 101 for ease of  
5 removal. The cartridge 100 contains no medication, but has a RF tag 102 sized and positioned similarly to RF tag 86. This tag 102 contains the patient specific information used to configure the device.

10 If the cartridge 100 is configured for a particular device and is subsequently inserted into that device, only that device will be configured. If however the same cartridge is inserted into another device that  
15 the cartridge has not been configured for the cartridge will not authorise that dispense device. It will however cause the device that it was inserted into to log the serial number of the cartridge in its memory. When the unauthorised dispense device is next  
20 downloaded it will become apparent that the cartridge has been inserted into the device.

If however a user has a number of dispense devices, say one in the home another in the car and a further device in the office, the cartridge 100 could be  
25 configured to authenticate and configure all devices upon insertion of the cartridge into the relevant device.

When patients use the device they will have to enter  
30 their secure PIN code to access the drugs. On occasions the patient may forget the PIN code. In this event the user can insert the cartridge into the device which will replay the PIN by way of flashing the appropriate buttons and prompting the user to  
35 confirm by way of pressing the button indicated.

In order to provide the ability to prevent the

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dispenser from dispensing when it is not an authorised location, a number of approaches may be adopted.

5 Most simply, the dispensing unit 1 and base unit 2 of the example of Fig. 1 may be provided with an RF transmission mechanism. Signals received by the base unit 2 from the dispenser 1 are analysed by the control circuitry to determine whether or not the dispensing unit 1 is within an authorised radius of  
10 the docking station. If so, the control circuitry will release the locking mechanism. If not, the locking mechanism will remain in place. Similar considerations apply to the example of Figs. 6a and 6b, where distance from the base station 50 can be  
15 monitored.

The dispensing at an authorised location may be used in combination with other pre-programmed parameters referred to above, such as dosage patterns etc. A  
20 user will therefore only be able to access the drugs when at an authorised location and when it is time to dispense the dose in accordance with the other pre-programmed parameters.

25 Alternatively, the location of a dispenser may be monitored using global positioning (GPS), cellular positioning (CPS) or a triangulation system.

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CLAIMS

1. A dispensing system comprising a dispenser arranged to dispense a quantity of material; a locking  
5 mechanism on the dispenser to prevent dispensing of the material; a user interface allowing the user to input data; and a control device remote from the dispenser, the control device arranged to receive the input data and to enable release of the locking  
10 mechanism to allow dispensing of the material.
2. A system according to claim 1, wherein the control device is arranged to make a qualitative assessment of the input data.  
15
3. A system according to claim 1 or claim 2, wherein the control device further includes a display to display the input data.
- 20 4. A system according to any one of the preceding claims, wherein the dispenser is adapted to receive a sealed or resealable container of material to be dispensed and includes a mechanical actuation mechanism which, when actuated, causes a measured dose  
25 of material to be dispensed from the material container, and the actuation mechanism may be inhibited from operation by the locking mechanism.
- 30 5. A system according to claim 4, wherein the container and dispenser are provided with means enabling the authenticity of the container placed in the dispenser to be checked.
- 35 6. A system according to any one of the preceding claims, wherein the dispenser is a portable hand-held device.

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7. A system according to claim 3, further comprising a separate base or docking station into or near which the hand-held unit may be placed in order to release the locking mechanism.

5

8. A dispensing system consisting of a programmable dispensing mechanism adapted to receive a sealed or resealable container containing multiple doses of material to be dispensed, and including a mechanical actuation mechanism which, when actuated, causes a measured dose of material to be dispensed from the material container, and wherein the actuation mechanism may be inhibited from operation by a locking mechanism which, when actuated, locks the device against further dispensing of a dose of material until the release in accordance with a desired dispensing programme:

10

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further comprising a cartridge to be received in the dispensing mechanism in place of the container of material to be dispensed, the cartridge containing user specific data, means in the dispensing mechanism to read the data, and control means to control the operation of the dispensing mechanism in accordance with the data.

20

25

9. A dispensing system according to claim 8, wherein the cartridge and the dispensing mechanism are provided with means enabling the authenticity of the cartridge placed in the dispensing mechanism to be checked.

30

10. A dispensing system according to claim 8 or claim 9, wherein the data in the cartridge can be reprogrammed.

35

11. A dispensing system according to any one of claims 8 to 10, wherein the data on the cartridge

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includes a user specific PIN code.

12. A dispensing system according to any one of  
claims 8 to 11, wherein the container and dispensing  
5 mechanism are provided with means enabling the  
authenticity of the container placed in the dispensing  
mechanism to be checked.

13. A dispensing system according to any one of  
10 claims 8 to 12, wherein the dispensing mechanism is a  
portable hand-held device.

14. A dispensing system according to any one of  
claims 8 to 13, wherein the locking mechanism is also  
15 located in this device, and a separate base or docking  
station is provided into or near which the hand-held  
unit may be placed in order to release the locking  
mechanism.

20 15. A dispensing system according to any one of  
claims 8 to 14, wherein the communication between the  
cartridge and dispensing mechanism is encrypted.

25 16. A dispensing system according to any one of  
claims 8 to 14, wherein the communication between the  
cartridge and dispensing mechanism is not encrypted.

17. A method of configuring a programmable dispensing  
mechanism adapted to receive a container containing  
30 multiple doses of material to be dispensed, the method  
comprising inserting a cartridge into the dispensing  
mechanism in place of the container of material to be  
dispensed, reading data from the cartridge to the  
dispensing mechanism, and configuring the dispensing  
35 mechanism to operate in accordance with the data.

18. A method according to claim 17, further

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comprising the step of checking the authenticity of the cartridge placed in the dispensing mechanism.

19. A method according to claim 17 or claim 18,  
5 comprising the step of reprogramming the cartridge.

20. A method according to any one of claims 17 to 19,  
further comprising the step of checking the  
authenticity of the container placed in the dispensing  
10 mechanism.

21. A dispensing system comprising a dispenser  
arranged to dispense a quantity of material; a  
locking mechanism on the dispenser to prevent  
15 dispensing of the material; a position detector to  
detect the position of the dispenser; and a control  
means to activate the locking mechanism to prevent  
dispensing of the material if the position detector  
indicates that the dispenser is not within a fixed  
20 location.

22. A system according to claim 21, wherein the  
position detector is a means to monitor when the  
dispensing mechanism is beyond a given radius from a  
25 fixed point.

23. A system according to claim 21, wherein the  
position detector is a means to monitor the absolute  
position of the dispenser.

30 24. A system according to any one of claims 21 to 23,  
wherein the dispenser is adapted to receive a sealed  
or resealable container of material to be dispensed  
and includes a mechanical actuation mechanism which,  
35 when actuated, causes a measured dose of material to  
be dispensed from the material container, and the  
actuation mechanism may be inhibited from operation by

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the locking mechanism.

25. A system according to claim 24, wherein the  
container and dispenser are provided with means  
5 enabling the authenticity of the container placed in  
the dispenser to be checked.

26. A system according to any one of claims 21 to 25,  
wherein the dispenser is a portable, hand-held device.  
10

27. A system according to claim 25, further  
comprising a separate base or docking station into or  
near which the hand-held device must be placed in  
order to release the locking mechanism.  
15

20



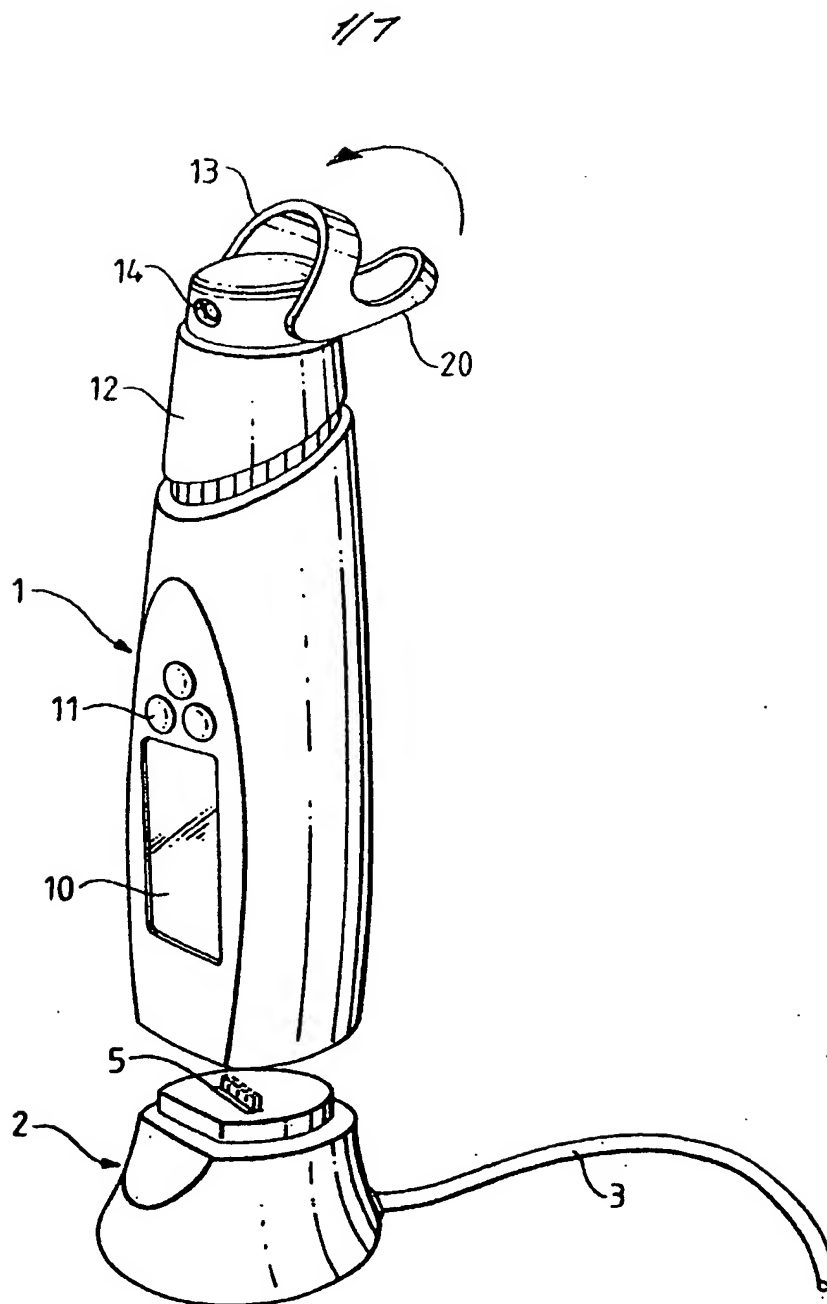
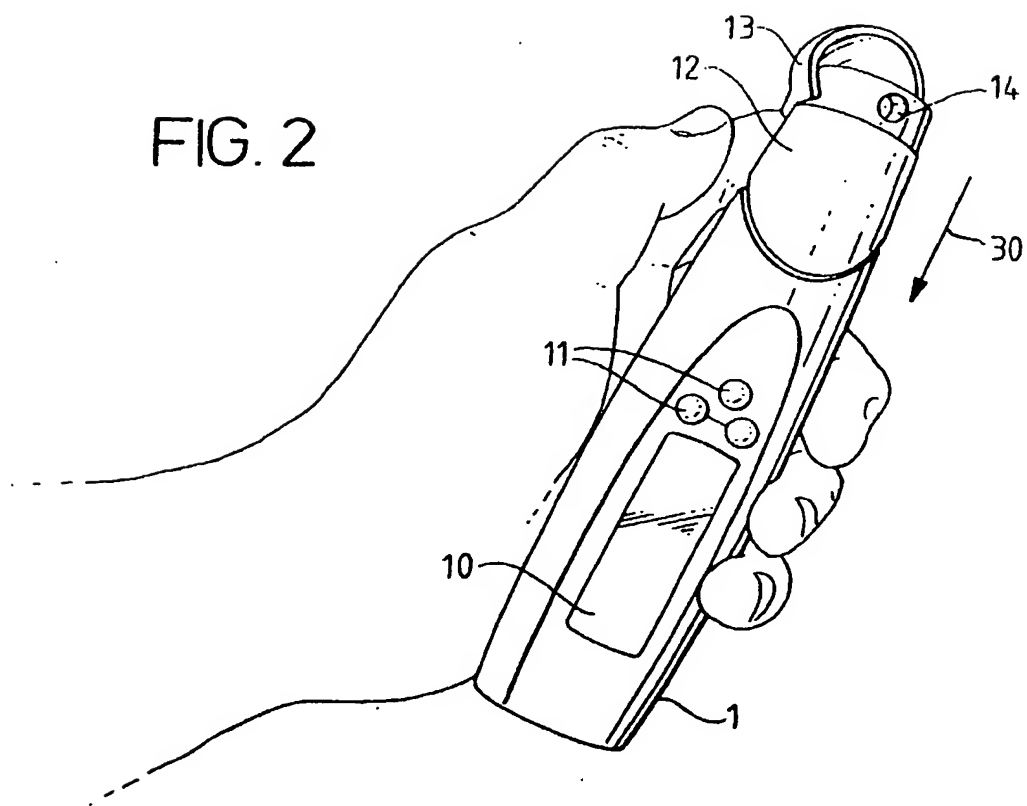


FIG. 1

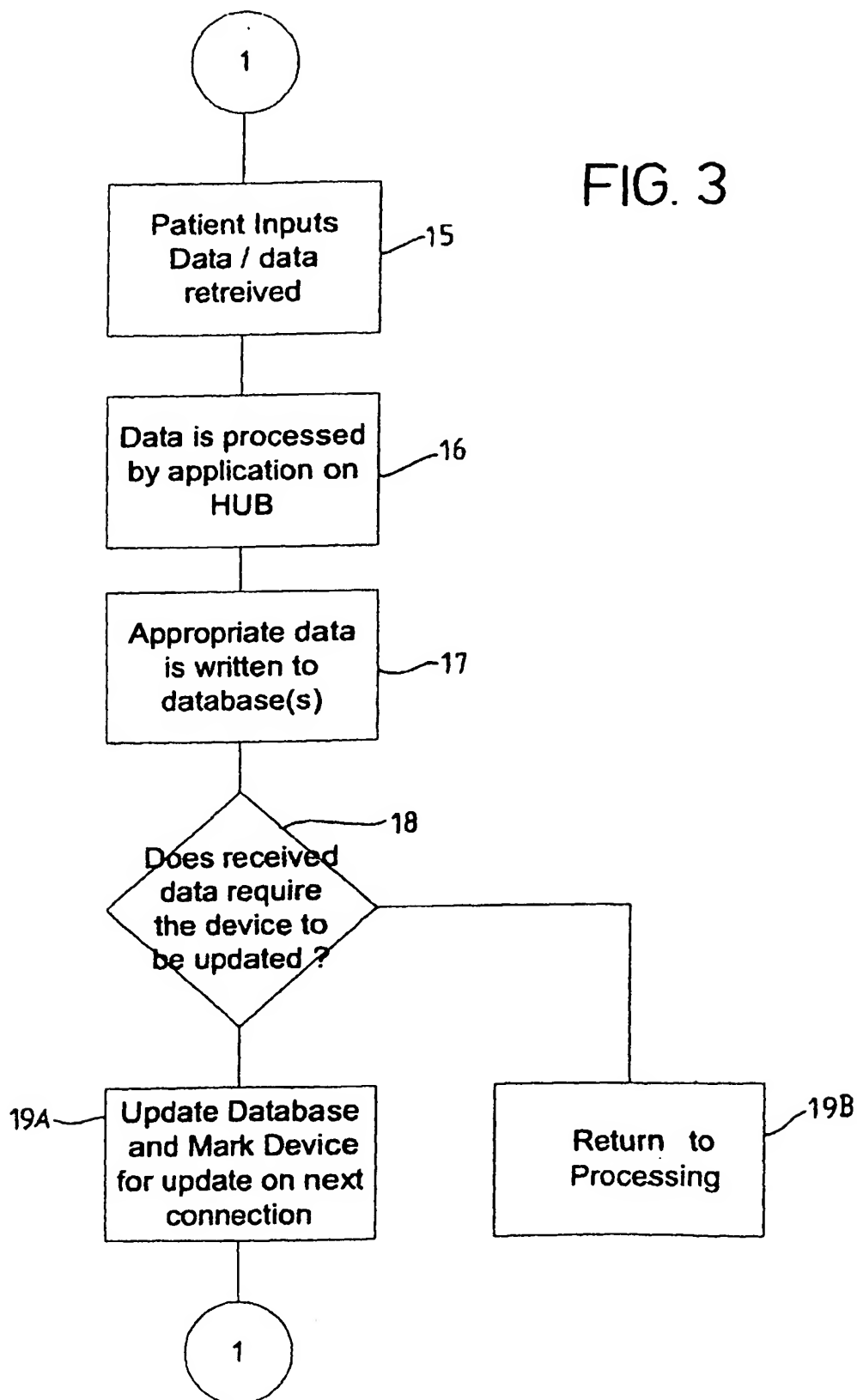
2/7

FIG. 2



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FIG. 3



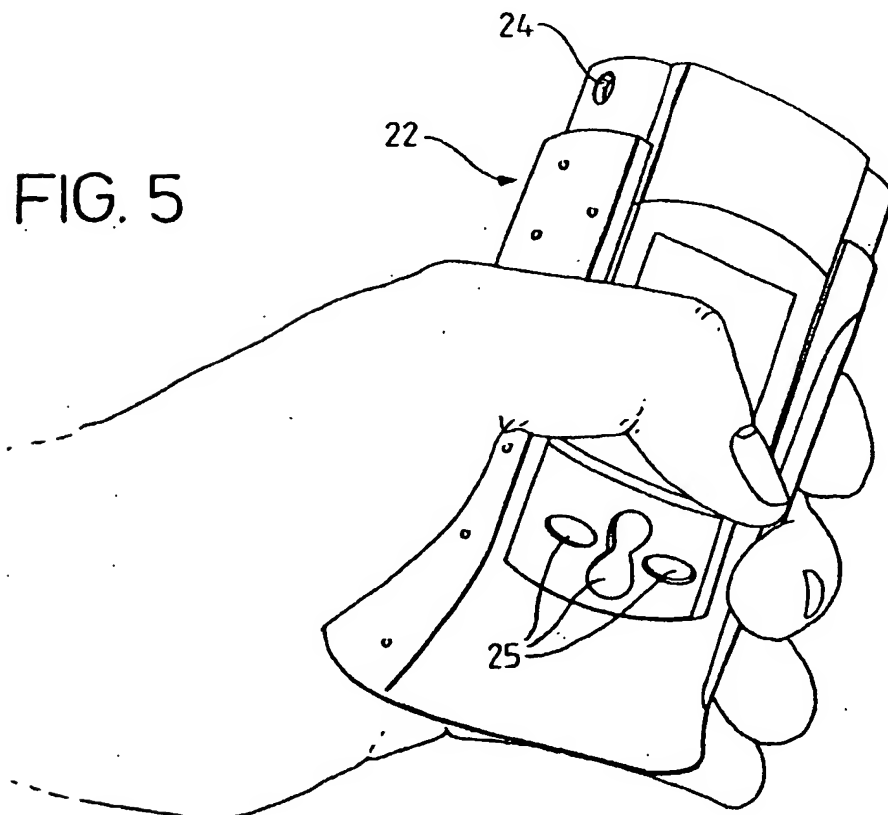
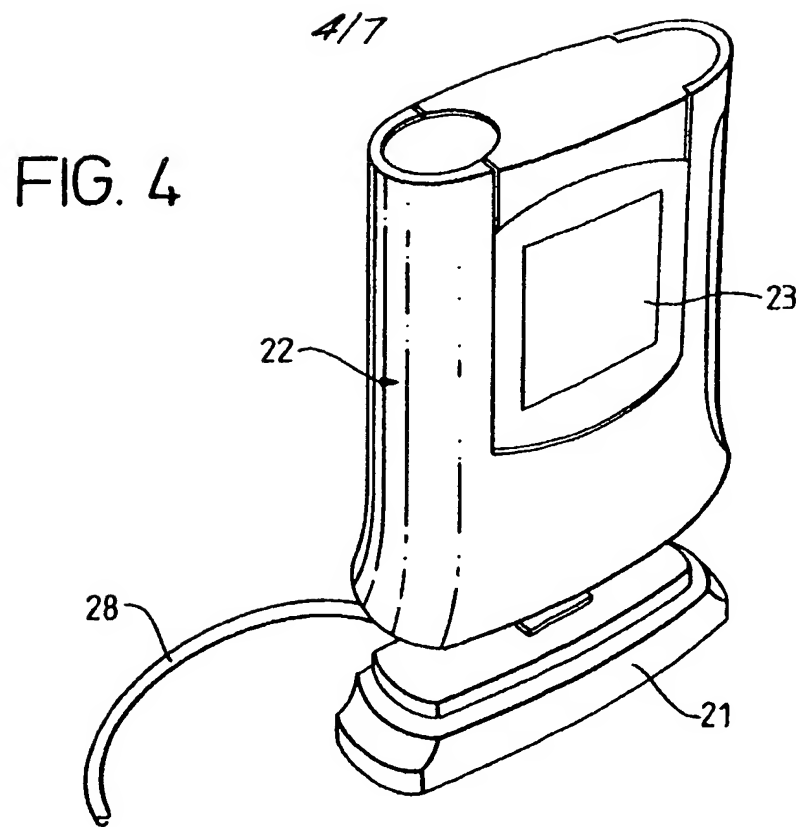


FIG. 6a

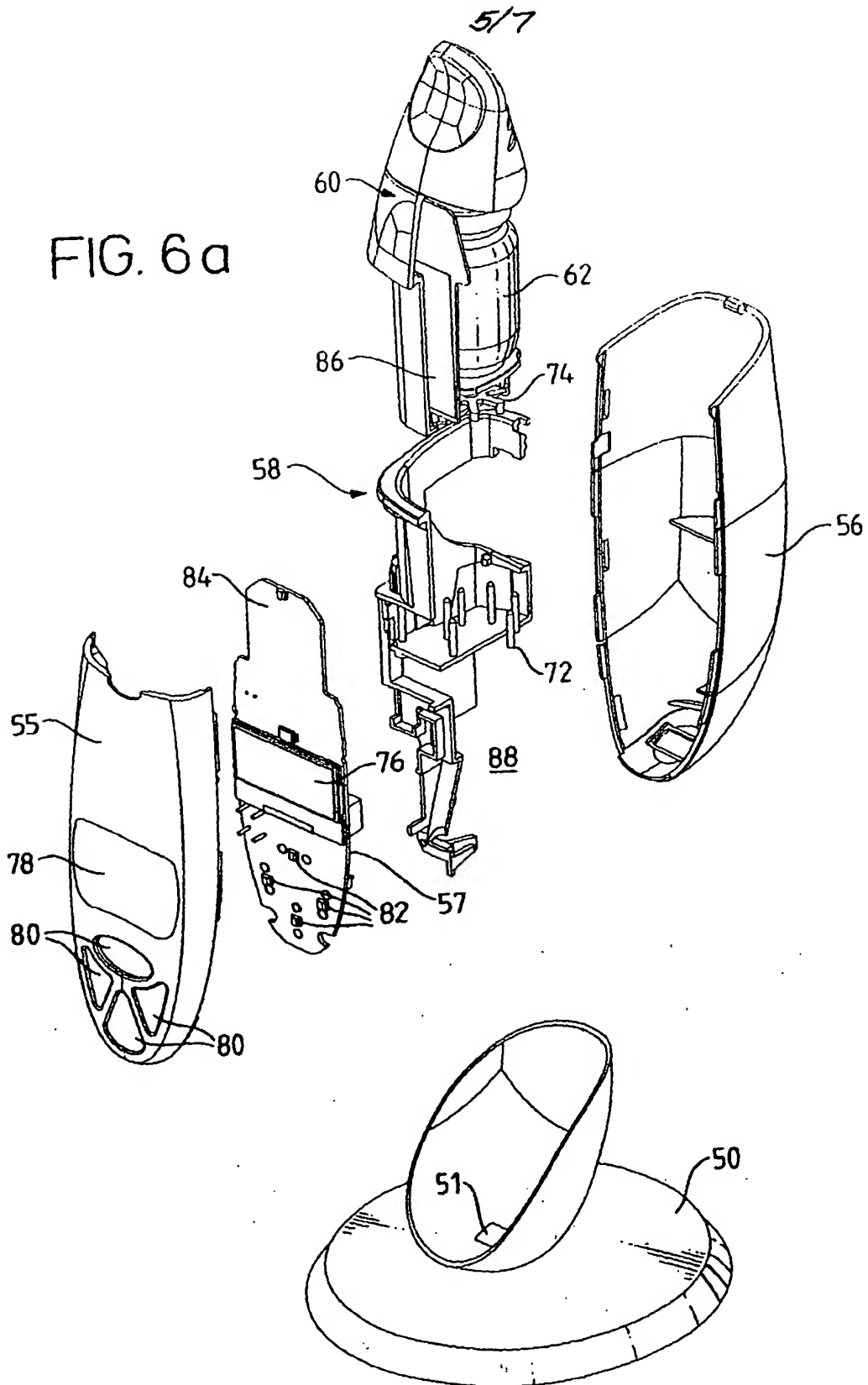
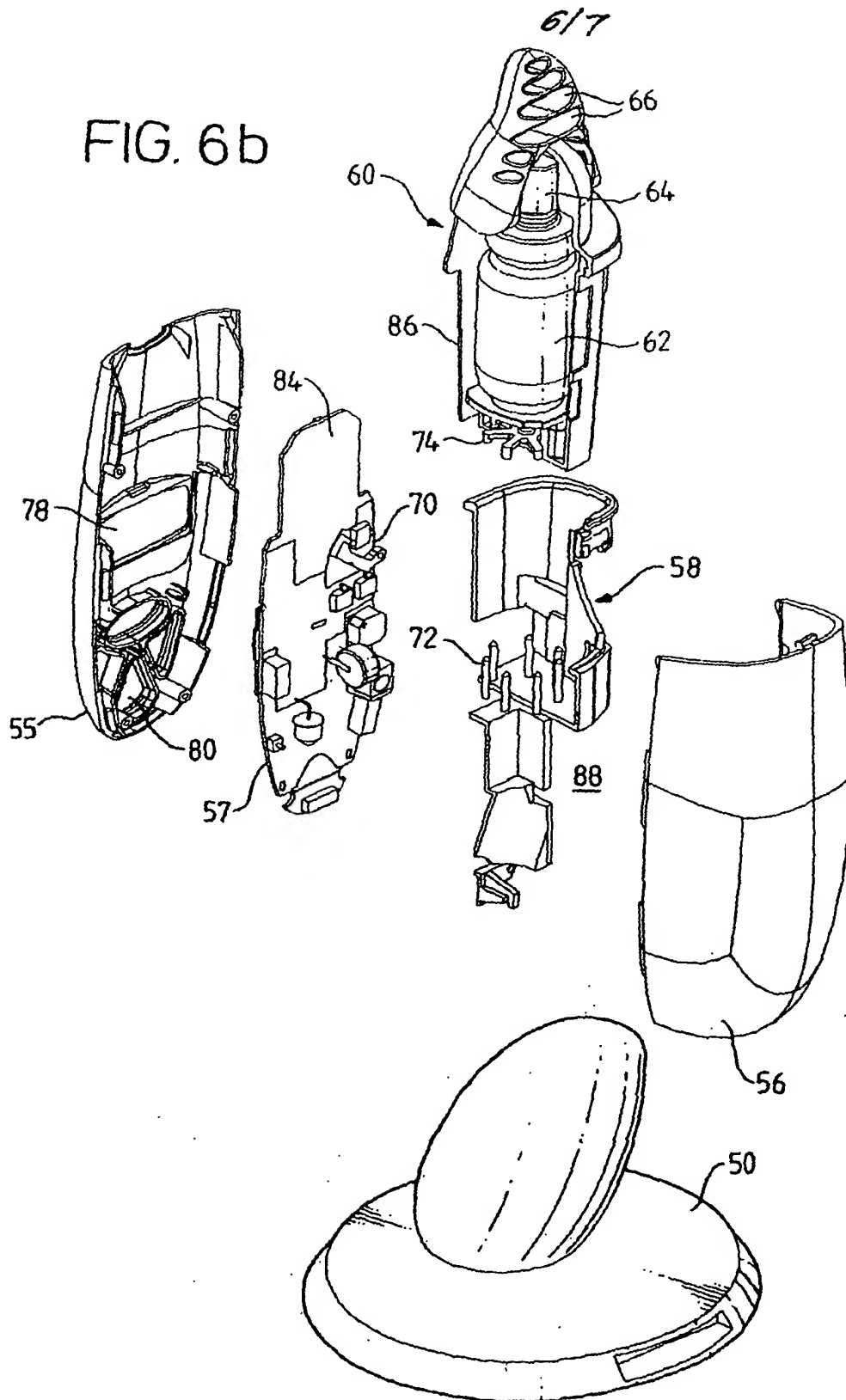
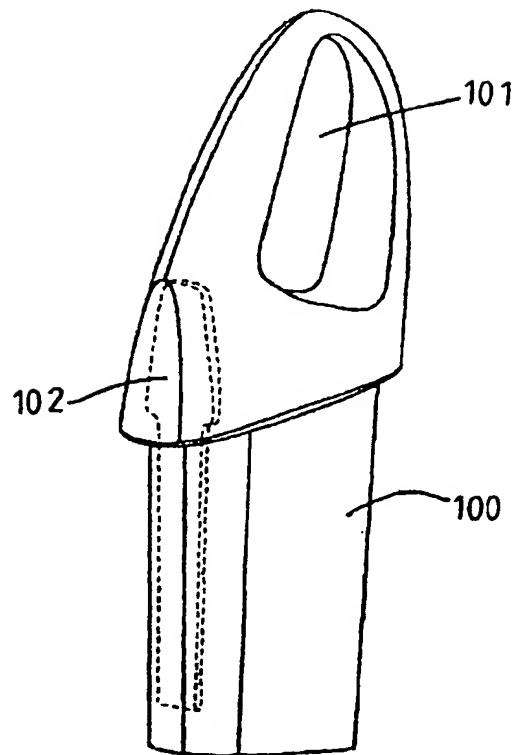


FIG. 6b



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FIG. 7



## INTERNATIONAL SEARCH REPORT

Internat application No

PCT/GB 03/00766

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 A61M15/00 A61J7/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61M A61J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 032 155 A (DE LA HUERGA CARLOS) 29 February 2000 (2000-02-29) column 6, line 30 - line 46 column 9, line 6 - line 8 column 9, line 39 - line 41 column 11, line 11 - line 16 column 13, line 31 - line 45 figures 1,2,12 ---	1-7
A	US 5 694 919 A (LLOYD LESTER J ET AL) 9 December 1997 (1997-12-09) column 27, line 39 - line 45 column 28, line 34 - line 43 column 32, line 47 - line 54 column 33, line 45 - line 56 figure 2 --- -/--	1-7,21



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*8\* document member of the same patent family

Date of the actual completion of the international search

17 July 2003

Date of mailing of the international search report

25. 07. 2003

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Lakkis, A



## INTERNATIONAL SEARCH REPORT

Internati pplication No  
PCT/GB 03/00766

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 03/00766

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-7

A dispensing system comprising a locking mechanism and further comprising a user interface and a remote control device

2. Claims: 8-20

A dispensing system comprising a locking mechanism and further comprising control means and a cartridge; Associated method of configuring a programmable dispensing mechanism

3. Claims: 21-27

A dispensing system comprising a locking mechanism, control means and further comprising a position detector

## INTERNATIONAL SEARCH REPORT

Internati Application No

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